Chapter 4.

## RESULTS

### 4.1 Problem Statements - general characteristics

We collected problem statements from 27 interviewees, which ranged from 490 to 66 tokens in length. Our initial analysis of these statements was to remove non-significant words from the text of the interviews. As a measure of the 'content density' we computed the ratio of tokens before and after this procedure for each interview, and as a measure of 'redundancy', the type-token ration after removing non-significant words. These data are displayed in Table 1 , from which one can note that the problem statements are all quite similar in these characteristics, regardless of length. Using the results of the text analysis program, Table 2 indicates the maximum and minimum (of the top 40 associates) association strengths for each oral problem statement, and the number of types (after removing non-significant words). Maximum association strengths ranged from 1481 to 166 , minimum from 132 to 25 , and number of types from 99 to 21.

We were concerned to see if there were correlations between association strengths and text characteristics, and if there were differences among the oral problem statements, written problem statements and abstracts. For the oral problem statements, the values of $r$ (the product-moment correlation) calculated were:

1. Highest association strength vs. number of types $r=0.5676$
2. Range of association strengths vs. number of types
$r=0.5547$
Both these values are significant at the $1 \%$ level (two-tailed test).
In order to see if there were consistent differences between general text characteristics of oral and written problem statements, we performed the same analysis on eight written problem statements. These results are displayed in Tables 3 and 4 , which show a mean 'content density' of 2.05 , as compared to 2.7 for oral problem statements.

The values for $r$, the product-moment correlation, for the written problem statements were:

1. Highest association strength vs.
number of types
$r=0.377$

| Interview No. | $\frac{\text { Pre }}{\text { Post }} \text { Tokens }$ | Post $\frac{\text { Types }}{\text { Tokens }}$ |
| :---: | :---: | :---: |
| 1 | 2.49 | 0.48 |
| 2 | 2.78 | 0.67 |
| 3 | 3.09 | 0.78 |
| 4 | 3.06 | 0.66 |
| 5 | 2.40 | 0.78 |
| 6 | 2.97 | 0.74 |
| 7 | 2.00 | 0.69 |
| 8 | 2.27 | 0.89 |
| 9 | 3.76 | 0.71 |
| 10 | 2.89 | 0.67 |
| 11 | 2.13 | 0.45 |
| 12 | 3.06 | 0.62 |
| 13 | 2.68 | 0.81 |
| 14 | 2.69 | 0.53 |
| 15 | 2.30 | 0.61 |
| 16 | 2.63 | 0.73 |
| 17 | 2.59 | 0.37 |
| 18 | 2.57 | 0.60 |
| 19 | 2.20 | 0.70 |
| 20 | 2.47 | 0.64 |
| 21 | 2.27 | 0.70 |
| 22 | 2.76 | 0.76 |
| 23 | 3.78 | 0.72 |
| 24 | 2.78 | 0.66 |
| 25 | 2.87 | 0.77 |
| 26 | 2.39 | 0.69 |
| 27 | 2.98 | 0.82 |
| Mean | 2.7 | 0.69 |
| Variance ( $\mathrm{S}^{2}$ ) | 0.3 | 0.01 |

Pre - Before Identification of Significant Words
Post- After Identification of Significant Words

Table 1. Token-token and type-token ratios for oral problem statements (from Brooks, 1978).

| Interview No . | Highest <br> Association <br> Strength | Lowest <br> Association <br> Strength | Range of Strengths | No. of types |
| :---: | :---: | :---: | :---: | :---: |
| 1 | 879 | 132 | 47 | 95 |
| 2 | 316 | 50 | 266 | 68 |
| 3 | 198 | 33 | 165 | 61 |
| 4 | 257 | 50 | 207 | 47 |
| 5 | 258 | 33 | 225 | 55 |
| 6 | 430 | 42 | 372 | 56 |
| 7 | 631 | 33 | 598 | 31 |
| 8 | 273 | 33 | 240 | 33 |
| 9 | 497 | 58 | 439 | 60 |
| 10 | 297 | 50 | 247 | 37 |
| 11 | 464 | 26 | 438 | 64 |
| 12 | 924 | 75 | 849 | 49 |
| 13 | 273 | 58 | 215 | 43 |
| 14 | 1481 | 52 | 1349 | 87 |
| 15 | 1114 | 83 | 1031 | 84 |
| 16 | 183 | 25 | 158 | 37 |
| 17 | 166 | 25 | 141 | 30 |
| 18 | 489 | 48 | 431 | 80 |
| 19 | 249 | 25 | 224 | 21 |
| 20 | 390 | 100 | 290 | 99 |
| 21 | 297 | 56 | 231 | 32 |
| 22 | 265 | 33 | 232 | 34 |
| 23 | 264 | 33 | 231 | 26 |
| 24 | 447 | 50 | 397 | 53 |
| 25 | 297 | 58 | 239 | 46 |
| 26 | 290 | 50 | 240 | 42 |
| 27 | 264 | 66 | 198 | 46 |

Table 2. Association strengths and number of types for oral problem statements (from Brooks, 1978).
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| Script No. | $\frac{\text { Pre }}{\text { Post }}$ Tokens | Post $\frac{\text { Types }}{\frac{\text { Tokens }}{}}$ |
| :---: | :---: | :---: |
| 30 | 2.08 | 0.7 |
| 31 | 2.29 | 0.85 |
| 32 | 1.84 | 0.68 |
| 33 | 2.00 | 0.75 |
| 34 | 1.86 | 0.71 |
| 35 | 1.55 | 0.71 |
| 36 | 2.09 | 0.76 |
| 37 | 2.68 | 0.68 |
| Mean | 2.05 | 0.73 |
| Variance $\left(\mathrm{S}^{2}\right)$ | 0.113 | 0.01 |

Table 3. Token-token and type-token ratios for written problem statements (from Brooks, 1978)

| Script <br> No. | Highest <br> Association <br> Strength | Lowest <br> Association <br> Strength | Range of <br> Association <br> Strengths | No. of <br> Types |
| :---: | :---: | :---: | :---: | :--- |
| 30 | 198 | 33 | 165 | 42 |
| 31 | 141 | 33 | 108 | 35 |
| 32 | 183 | 33 | 150 | 35 |
| 33 | 100 | 25 | 75 | 24 |
| 34 | 657 | 33 | 624 | 42 |
| 35 | 1242 | 33 | 1209 | 39 |
| 36 | 274 | 33 | 241 | 34 |
| 37 | 258 | 25 | 233 | 15 |

Table 4. Association strengths and number of types for written problem statements, (from Brooks, 1978).

## 2. Range of association strengths vs. <br> number of types <br> $r=0.384$

Neither of these values is significant, even when recomputed after having removed number 35 from the data because its maximum association strength is so much higher. Thus, there appears to be no relation between this text parameter and association strength values.

Finally, in order to indicate the subject spread of the problem statements, we classed them into the five broad categories indicated in Table 5. The social sciences are well represented in our sample, as is medicine, with perhaps some under representation of technology and the natural sciences. Nevertheless, the spread, given sample size, is reasonably broad.
4.2 Problem Statements - evaluation *

The point of the surveys of users and authors was to see whether the analyses of the problem statements and abstracts were in general accord with the originators' own perceptions of their information needs or of the ideas they were attempting to communicate; and, if there were disparities, then to see if there might be suggestions for improvement. Of course, for retrieval purposes it may not be necessary for the representations to be congruent with the originators' ideas about them, but as a first method of evaluation the technique seemed reasonable. If the subjects were unanimous in their disapproval of the representations, then we could be fairly sure that we should probably try something else. We wished to determine in evaluating the problem statement representation:

1. how accurately, in the interviewee's opinion, the two formats described her/his ASK at the time of the interview; and
2. how the two formats compared with one another.

Response to the survey was good, $63 \%$ of the group ( $\mathrm{N}=27$ ) returning completed questionnaires. Table 6 is a summary of replies to the Association Map questionnaire, Table 7 to the Association Clusters questionnaire, and Table 8 to the comparative questionnaire.

From these tables it is evident that the analysis, presented in the Association Map format, provided a generally adequate representation of the information needs of the interviewees. The major criticism of the analysis is that some concepts were too weakly associated, and this seems * This section, and section 4.4, are based on Brooks, Oddy and Belkin (1979)

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|  | Psychology <br> /Education <br> /Sociology <br> /Linguistics | Medicine | Agriculture | Information Science | Biology <br> /Chemistry <br> /BioChemistry |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 1 | 3 | 9 | 14 | 7 |
|  | 2 | 4 | 10 | 15 | 17 |
|  | 6 | 5 | 11 | 16 | 26 |
|  | 8 | 19 |  | 18 | 27 |
|  | 12 | 20 |  |  |  |
|  | 13 | 21 |  |  |  |
|  | 22 | 23 |  |  |  |
|  | 24 | 25 |  |  |  |
| Totals | 8 | 8 | 3 | 4 | 4 |

Table 5. Subject areas of interviewees by interview number (from Brooks, 1978).

| QUESTION | \% YES | \% NO | NO RESP. (N=15) |
| :---: | :---: | :---: | :---: |
| 1. ACCURATE REFLECTION? 73.3 20.0 $6.6 \%$ <br> 2. (A) TOO STRONG?    <br> (B) TOO WEAK? 46.6 53.4  <br> 3. CONCEPTS MISSING? 46.6 13.4  |  |  |  |

TABLE 6 ASSOCIATION MAP EVALUATION (PROBLEM STATEMENT)
QUESTION

| QUESTION | \% YES | \% NO | NO RESP. ( $\mathrm{N}=15$ ) |
| :---: | :---: | :---: | :---: |
| 1. (A) CONCEPTS WHICH SHOULD NOT BE TOGETHER? <br> (B) CONCEPTS WHICH SHOULD BE TOGETHER? | $\begin{aligned} & 46.6 \\ & 73.3 \end{aligned}$ | $\begin{aligned} & 46.6 \\ & 20.0 \end{aligned}$ | $\begin{aligned} & 6.6 \\ & 6.6 \end{aligned}$ |
| 2.(A) GROUPS AT TOO HIGH AN ASSOCIATION LEVEL? <br> (в) GROUPS AT TOO LOW AN ASSOCIATION LEVEL? | $\begin{aligned} & 60.0 \\ & 60.0 \end{aligned}$ | $\begin{aligned} & 40.0 \\ & 40.0 \end{aligned}$ |  |
| 3. (A) GROUPS NOT CLOSELY ENOUGH LINKED? <br> (B) GROUPS TOO CLOSELY LINKED? | $\begin{aligned} & 80.0 \\ & 46.6 \end{aligned}$ | $\begin{aligned} & 20.0 \\ & 53.4 \end{aligned}$ |  |

TABLE 7 ASSOCIATION CLUSTER EVALUATION (PROBLEM STATEMENT)

| QUESTION | \% YES | \% NO | NO RESP. |  |
| :---: | :---: | :---: | :---: | :---: |
| 1. ANY PREFERENCE? | 73.0 | 26.6 |  | $N=15$ |
| 2. PREFER AOSOCIATION MAP | 81.8 |  |  | $\mathrm{N}=11$ |
| 3. PREFER ASSOCIATION CLUSTER | 18.2 |  |  | $N=11$ |
| 4. IF NO PREFERENCE, WERE BOTH UNSUCCESSFUL? | 75.0 |  | 25.0\% | $N=4$ |

TABLE 8 FORMAT COMPARISON
to be its single identifiable consistent problem, in the judgement of the interviewees. The Association Cluster format was judged inferior to the Association Map.

### 4.3 Abstracts - general characteristics

We collected 31 abstracts from the library and information science literature, two of which were of the same article, one a very long synopsis, the other a short abstract.

In order to compare abstracts with problem statements, we performed the same analyses for 'content density' and 'redundancy', and also determined maximum and minimum association strengths and number of significant types for each abstract. These data are displayed in Tables 9 and 10. The values for $r$ for the abstracts were:

1. Number of types vs. highest association strength $r=0.54315$
2. Number of types vs. range of association strengths $r=0.5191$

Both of these values are significant at the $1 \%$ level (two-tailed test), so we conclude that association strengths are related to parameters of the abstracts.
4.4 Abstracts - evaluation

The goals of the abstract evaluation were to see:

1. how accurately the analysis, presented in the Association Map format, represented the interrelation of concepts in the mind of the author at the time of writing; and
2. if there were any regularities in deficiencies of the representation.

We chose to use only the Association Map format because of the general dissatisfaction with the Cluster format among the interviewees who responded to their questionnaire.

The response rate to this survey was gratifyingly high: 90\% ( $\mathrm{N}=30$ ). The results are summarized in Table 11. There are, unfortunately, some difficulties in interpreting these results (see Section 6.1), but it appears that although the general representation method is judged reasonable, there are some severe problems in its specific implementation. Thus, although only about $30 \%$ of the respondents thought that the representation was actually bad, $63 \%$ thought that some concepts were actually omitted, and most striking, $96 \%$ (all but one) thought that at least some concepts were too weakly connected.

| Abstract No. | $\begin{aligned} & \text { Pre Tokens } \\ & \text { Post } \end{aligned}$ | Post Types Tokens |
| :---: | :---: | :---: |
| 1 | 1.91 | 0.536 |
| 2 | 1.895 | 0.789 |
| 3 | 1.828 | 0.737 |
| 4 | 2.32 | 0.681 |
| 5 | 2.16 | 0.5816 |
| 6 | 1.95 | 0.66 |
| 7 | 1.99 | 0.656 |
| 8 | 2.026 | 0.608 |
| 9 | 1.86 | 0.765 |
| 10 | 2.058 | 0.662 |
| 11 | 2.06 | 0.62 |
| 12 | 1.987 | 0.73 |
| 13 | 2.03 | 0.609 |
| 14 | 2.15 | 0.814 |
| 15 | 1.94 | 0.597 |
| 16 | 1.876 | 0.609 |
| 17 | 2.218 | 0.705 |
| 19 | 1.74 | 0.717 |
| 20 | 1.66 | 0.696 |
| 21 | 1.977 | 0.699 |
| 22 | 2.12 | 0.405 |
| 23 | 1.776 | 0.606 |
| 24 | 1.84 | 0.737 |
| 25 | 2.4 | 0.745 |
| 26 | 1.646 | 0.747 |
| 27 | 2.02 | 0.637 |
| 28 | 2.078 | 0.429 |
| 29 | 1.78 | 0.651 |
| 30 | 1.948 | 0.724 |
| 31 | 2.03 | 0.644 |
| 32 | 1.87 | 0.654 |
| Mean | 1.97 | 0.658 |
| Variance | 0.03 | 0.008 |

Table 9. Token-token and type-token ratios for abstracts.

| Abstract No. | Highest <br> Association <br> Strength | Lowest <br> Association <br> Strength | Range of Strengths | No. of Types |
| :---: | :---: | :---: | :---: | :---: |
| 1 | 266 | 99 | 167 | 30 |
| 2 | 291 | 58 | 233 | 60 |
| 3 | 373 | 66 | 307 | 73 |
| 4 | 257 | 83 | 174 | 32 |
| 5 | 1071 | 91 | 980 | 57 |
| 6 | 481 | 133 | 348 | 70 |
| 7 | 332 | 83 | 249 | 59 |
| 8 | 447 | 66 | 381 | 93 |
| 9 | 290 | 58 | 232 | 62 |
| 10 | 341 | 83 | 258 | 45 |
| 11 | 447 | 100 | 347 | 80 |
| 12 | 472 | 83 | 389 | 57 |
| 13 | 415 | 108 | 307 | 78 |
| 14 | 116 | 58 | 58 | 48 |
| 15 | 539 | 83 | 456 | 40 |
| 16 | 132 | 50 | 82 | 78 |
| 17 | 300 | 58 | 292 | 55 |
| 19 | 150 | 66 | 84 | 33 |
| 20 | 283 | 58 | 225 | 39 |
| 21 | 315 | 75 | 140 | 93 |
| 22 | 1053 | 108 | 945 | 242 |
| 23 | 1011 | 190 | 821 | 57 |
| 24 | 166 | 58 | 108 | 28 |
| 25 | 399 | 83 | 316 | 79 |
| 26 | 274 | 66 | 208 | 74 |
| 27 | 422 | 75 | 347 | 79 |
| 28 | 1058 | 141 | 917 | 110 |
| 29 | 472 | 83 | 389 | 84 |
| 30 | 158 | 66 | 92 | 42 |
| 31 | 704 | 100 | 604 | 56 |
| 32 | 365 | 91 | 274 | 61 |

Table 10. Association strengths and number of types for abstracts.
QUESTION

table il ABSTRACT REPRESENTATION EVALUATION

